## PRUDENTIAL 33/

## COMMON APPLICATION FORM FOR **SIP/SIP PLUS**

Application No.

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7. TAX STATUS [Plea						_				
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	□ Foreign National □ Body Corporate	Company Private Lir	mited Company	☐ AOP/BOI ☐ FII		] Defence Esta ] Public limited		☐ NON Profit Or ☐ Bank	ganization/Unar	ities
	☐ Trust/Society/NG0		artnership (LLP)	Sole Proprietors		Others (Pleas		□ Dank		
8. DEMAT ACCOUN	JT DETAILS (Option	nal - Please refe	r Instruction No	. XI) (Not applicat						
NSDL: Depository Participant (D		ficiary Account Num				articipant (DP) I	D (CDSL only)			
9. FATCA AND CRS	DETAILS FOR IN	DIVIDUALS	(Including Sole	Proprietor) (Mar	ndatory)					
Non-Individual investors	· · · · · · · · · · · · · · · · · · ·		CA Form (Anne	xure II). The belo	w informa	ition is requi				
	Place/City o	of Birth	Co	ountry of Birth		_	Country of	Citizenship / Nation	nality	
First Applicant / Guardian						Indian OU.	.S. Others	(Please specify)		
Second Applicant					C	Indian OU.	S. Others	s (Please specify)		
Third Applicant						Indian OU.	.S. Others	s (Please specify)		
Are you a tax resident (i.e., are	vou assessed for Tax) in a	any other country o	utside India? (	Yes No		ase tick (✔)]				
If 'YES' please fill for ALL coun				se i.e. where you are			Card Holder /	Tax Resident in the r	espective count	ries.
	Country of Tax Re	sidency		ion Number or		Identification		If TIN is not av		
			runctional	Equivalent	(1110	or other please	e specity)	the reason A, B		
First Applicant / Guardian								Reason: A	В□	C $\square$
Second Applicant								Reason: A 🗌	В	C
Third Applicant								Reason: A	В	C
□ Reason A ⇒ The cou	intry where the Accou	nt Holder is liab	le to pay tax do	es not issue Tax I	l Identification	on Numbers	to its resid	ents.		
	required (Select this re		e authorities of	the respective co	ountry of ta	ax residence	do not req	uire the T <b>IN</b> to be	e collected)	
□ Reason C ⇒ Others, Address Type of Sole/1st	•		ess Type of 2nd	Holder:		1 /	Addrage Tyr	e of 3rd Holder:		
Residential Registered				tered Office ( ) Busin	ness			I \( \) Registered Offi	ce O Business	
Annexure I and Annexure II		_	_ ,	_					55 0 246555	
10. KYC DETAILS (	Mandatory)									
Occupation [Please tick (	<b>/</b> )]									
Sole/First O Private Sec		Sector Service	Governmen		siness		ofessional	O Agriculturist	O Retired	
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Gross Annual Income   F   Sole/First Applicant   OR   Second Applicant   Others   Please tick ( / )     Sole/First Applicant   For Individua   For Non-Individua   For Second Applicant   O Po   Third Applicant   O Po   Third Applicant   O Po   O   Third Applicant   O Po   O   O   O   O   O   O   O   O   O	Please tick (✓)] Below 1 Lac ○ 1-5 Lacs Net worth (Mandatory for I's Below 1 Lac ○ 1-5 Lacs Below 1 Lac ○ 1-5 Lacs Below 1 Lac ○ 1-5 Lacs  Below 1 Lac ○ 1-5 Lacs  Is [Please tick (✓)]: ○ I a  widuals [Please tick (✓)] (  initically Exposed Person (Pfultically Exposed Person (Pfult	O 5-10 Lacs Non-Individuals)  O 5-10 Lacs  O 5-10 Lacs  Memory Politically Expose  Please attach mand vices — O YES  EP) A Related  Fund units) (Reference in receive the amount	Forex Deale  10-25 Lacs  10-25	Oth  >25 Lacs-1 crore as 6  >25 Lacs-1  >25 Lacs-1  Olam Related to  neficial Ownership (Uf/ Gambling / Lottery/ sed Person (RPEP) bed Person (RPEP)  in event of my/our de	hers (Please  e > 1 cro on DDD  crore C  crore C  Politically Ex  B0) declarati / Casino Serv  Not applic  Not applic  eath as follow  ddress of Gu	re   M   M   N     > 1 crore OR   > 1 crore OR   > 1 crore OR   > 2 crore OR   > 3 crore OR   > 4 crore OR   > 5 crore OR   > 6 crore OR   > 6 crore OR   > 7 crore OR   > 8 crore OR   > 8 crore OR   > 9 crore OR   >	Y Y Y Y S Net worth ₹ Net worth ₹ (RPEP) O I r instruction r O NO; (iii)	(Not older than  Not applicable To, IV(h)): Money Lending / Pa	1 year) wning – ○ YES	(%) in
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Gross Annual Income   Following   For Individua   For Non-Individua   For Non-Individu	Please tick (✓)] Below 1 Lac ○ 1-5 Lacs Net worth (Mandatory for 1 Below 1 Lac ○ 1-5 Lacs Is [Please tick (✓)]: ○ 1 a  priduals [Please tick (✓)]: ○ 1 a  priduals [Please tick (✓)] (Fange / Money Changer Servalitically Exposed Person (PE  DETAILS (For Mutual Fermentioned nominee(s) to  cof Nominee(s) time's address is  applicant's address)  DETAILS (For Mutual Fermentioned nominee(s) to  cof Nominee(s) time's address is  applicant's address)  DETAILS (For Mutual Fermentioned nominee(s) to  cof Nominee(s) time's address is  applicant's address)	Sompliance Act (FATCA dagree to abide by WATURE(S):	Forex Deale  10-25 Lacs  10-26 Lacs  10-26 Lacs  10-26 Lacs  10-27 Lacs  10-27 Lacs  10-28	S > 25 Lacs-1 crorr as a sign of the sign	hers (Please  a	re  > 1 M M M > 1 crore OR > 1 crore OR > 1 crore OR > 1 crore OR crosed Person ion form - Referices - O YES cable cable  ws:  lardian  Mandatory)]  ead, understood a 3S provision of the officers and	Net worth ₹ Net worth ₹ Net worth ₹ (RPEP)	(Not older than  Not applicable  Inc. IV(h)):  Money Lending / Pa  re of Nominee/ nominee is a minor  the to abide by the Schen of Direct Taxes notified if EBI, AMFI, Prevention of	Proportion which the up be shared h Nominee (saggregate to 114 Money Laundering mels). We have n	(%) in nits will y each Should 100%)
Gross Annual Income   Follow   Sole/First Applicant   OR Second Applicant   OR Second Applicant   OR Sole/First Applicant   OR Sole/First Applicant   For Individua   Sole/First Applicant   For Individua   For Non-Indiv (i) Foreign Exch: Second Applicant   OR Third Applicant   OR Third Applicant   OR Second Applicant	Please tick ( / )   Below 1 Lac	Solution	Forex Deale  10-25 Lacs  10-25	>25 Lacs-1 crore as ( >>25 Lacs-1 crore as ( >>25 Lacs-1 (	hers (Please  e	re  Proposed Person  Pr	I Net worth ₹ I	(Not older than  Not applicable  Io. IV(h)): Money Lending / Pa  re of Nominee/ nominee is a minor  of Direct Taxes notified 1  Edg. AMFL, Prevention of Options under the Sched is not designed for the squal to or more than	Proportion which the up to shared b Nominee (\$ aggregate to \$ 14 F to 114 Money Laundering me(s). IWe have ne purpose of contrues \$ 15% of the corpus	(%) in its will yeach Should 100%) sument/Key H, as part of Act, 2002 of received avertion of the plan
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Gross Annual Income   Follow   Sole/First Applicant   OR Second Applicant   OR Second Applicant   OR Sole/First Applicant   OR Sole/First Applicant   OR Sole/First Applicant   For Individua For Non-Individua Plum   OR Non-Individua For Non-Individua Plum   OR Non-Individua	Please tick ( ) ] Below 1 Lac  1-5 Lacs Net worth (Mandatory for N Below 1 Lac  1-5 Lacs Is [Please tick ( ) ]:  1 a  priduals [Please tick ( ) ] (F ange / Money Changer Serv Initically Exposed Person ( PE DETAILS (For Mutual F lermentioned nominee(s) to a for Nominee(s) sinee's address is Applicant's address)  ARATION & SIGN theme(s), Foreign Account Tax C apply for the units of the Fund and any other applicable laws enary of the mines of the Fund and private from time to time. gifts, directly or indirectly, in a first investments exceeding Rs.5.from amongst which the Sches SNL) or 1800 200 6666 (Others), accordance with Aadhaar Act, tions made thereunder) and PM	Some politically expose process of the first proces	Forex Deale  10-25 Lacs  10-25	Prudential Mutual Fund ting Standards (CRS) unit in case the Nominee  Prudential Mutual Fund ting Standards (CRS) unit in case the Nominee  Prudential Mutual Fund ting Standards (CRS) unit in case the Nominee  Prudential Mutual Fund ting Standards (CRS) unit in case the Nominee  Prudential Mutual Fund ting Standards (CRS) unit in case the Nominee  Prudential Mutual Fund ting Standards (CRS) unit in case the Nominee  Prudential Mutual Fund ting Standards (CRS) unit in research ting Standards (CRS) unit in case the Nominee  Prudential Mutual Fund ting Standards (CRS) unit in research ting Standard	hers (Please  a	re    M   M   M     > 1 crore OR   > 1 crore OR   > 1 crore OR   > 1 crore OR   > 2 cable   ca	Net worth ₹ Net worth ₹ Net worth ₹ Net worth ₹ (RPEP)	(Not older than  Not applicable  Io. IV(h)): Money Lending / Pa  re of Nominee/ nominee is a minor  of Direct Taxes notified a  BII, AMFI, Prevention of Options under the Schen d is not designed for the sequal to or more than have any existing Micro ther model, payable to hecall, etc. If you do not vidating my/our Aadhaar af formation with the asse	Proportion which the unbe shared h Nominee (saggregate to saggregate to	(%) in hits will y each should 100%)  sument/Ke H,as part or g Act, 2000 of receiver avention o of the plan competing asse call or dance with mpanies o
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PRUDENTIAL TO MUTUAL FUND

## PAN BASED MANDATE CUM SIP/SIP PLUS REGISTRATION FORM [For investment through NACH]

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Mandatory fields in OTM form as per NPCI: • Bank account number and Bank name • IFSC and/or MICR Code • PAN • Signatures as per bank records • SIP start date, end date or until cancelled • Account type to be selected • Name as per bank records • Transaction type to be selected • Maximum amount to be mentioned.

GENERAL INSTRUCTIONS

UMRN (Unique Mandate Reference Number) is provided by NPCI, which is assigned to every mandate that has been submitted to them.

Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles.

The Bank & AMC shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

The investor hereby agrees to indemnify and not hold responsible, AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, the Registrars & Transfer (R&T) agent and the service providers incase for any delay/wrong debits on the part of the bank for executing the debit mandate instructions for any sum on a specified date from your account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the user institution responsible. Investor confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

Registration of OTM/PAN BASED MANDATE FACILITY: As an investor I/we hereby request you to register me/us for availing the facility of OTM/PAN based mandate and carrying out transactions of additional purchase/redemption/switch in my/our folio through Call Centre and/or also authorize the distributor(s) to initiate the above transactions on my/our behalf. In this regard, I/we also authorize the AMC, on behalf of ICICI Prudential Mutual Fund (Mutual Fund) to call/email on my/our registered mobile number/email id for due verification and confirmation of the transaction(s) and such other purposes. The mobile number provided in the common application form will be used as registered mobile number for verification and confirmation of transactions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or non-confirmation/ verification of the transaction due to any reason, I/we shall not hold AMC, Mutual Fund, its sponsors, representatives, service providers, participant banks responsible in this regard. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV. I/We hereby confirm that the information/documents provided by me/us in this form are true, correct and complete in all respect. I/We hereby agree and confirm to inform AMC promptly in case of any changes. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).

Maximum Amount: The MAXIMUM AMOUNT is the per transaction maximum limit. Investor can register multiple SIPs but the amount should not exceed the maximum amount mentioned per transaction.

Generally speaking, your SIP amount will be lesser than this amount, but choosing a slightly higher limit helps you to undertake additional investments as per your choice. Always remember to mention an amount that is convenient to you.

	Beneficiary Account Number (NSDL only)	CDSL: Depository Participant (DP) ID (CDSL only)	
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